

Latin Securities, Inc. has developed a Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Contacting Us - If after a significant business disruption, you cannot contact us as you usually do, you should go to our web site for additional information.

Our Business Continuity Plan - We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm's books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our business continuity plan addresses - Data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers and team members, alternate physical location of team members; critical suppliers, and contractors if we are unable to continue our business.

Varying Disruptions - Significant business disruptions can vary in their scope, such as only our firm, a single building housing a team member, the business district where our firm conducts business, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our team members, we will transfer related business operations to an alternate office. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area and recover and resume business as soon as possible. In either situation, we plan to continue in business, and notify you through our web site or our customer emergency number (305) 815-7907.

How to contact us - If the significant business disruption is so severe that it prevents us from remaining in business, we will assure our clients prompt access to any of their documentation.

For more information - If you have questions about our business continuity planning, you can contact us via email at info@latinsecurities.us.